



[Signature]
PATENT
#11 12/13
[Signature]
Phoebe E. Pogson

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 20, 2003
Date

[Signature]
Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

DEC 02 2003

Appl. No. : 09/616,794

Confirmation No. : 9185

Applicant : Jason B. Elledge

Filed : July 14, 2000

Attorney Docket No.: 500188.02 TECHNOLOGY CENTER R3700

Art Unit : 3723

Customer No. : 27,076

Examiner : Daniel G. Shanley

Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES
AND METHODS FOR MAKING AND USING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. Copies of the cited foreign patent and non-patent literature references, as required under 37 C.F.R. § 1.98(a)(2), are enclosed. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is

believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Applicant also wishes to make known to the Patent and Trademark Office that an application, Serial No. 09/833,029, describing and claiming subject matter that is similar to the subject matter of this application, was filed on April, 10, 2001, published as U.S. Publication No. US-2001-0044261-A1. This related application, as well as any prior art cited therein, may be material to the examination of this application. A copy of this related application as filed is submitted herewith in accordance with 37 C.F.R. § 1.98(d).

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:pep

Enclosures:

- Postcard
- Check
- Fee Transmittal (+ copy)
- Copy of Information Disclosure Statement
- Form PTO-1449
- Cited References (6)

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Telephone (206) 903-8800
Facsimile (206) 903-8820

FORM PTQ-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
500188.02APPLICATION NO.
09/616,794

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S)

Jason B. Elledge

FILING DATE

July 14, 2000

GROUP ART UNIT

3723

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,254,459 B1	07/03/01	Bajaj et al.	451	41	
	AB	6,454,630 B1	09/24/02	Tolles	451	6	
	AC	6,458,014 B1	10/01/02	Ihsikawa et al.	451	6	
	AD	6,607,422 B1	08/19/03	Swedek et al.	451	6	
	AE	6,612,901 B1	09/02/03	Agarwal	451	6	
	AF	20010044261 A1	11/22/01	Elledge	451	41	
	AG						
	AH						
	AI						
	AJ						

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TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

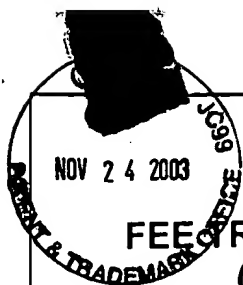
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known

Application No.	09/616,794
Filing Date	July 14, 2000
First Named Inventor	Jason B. Elledge
Group Art Unit	3723
Examiner	Daniel G. Shanley
Atty. Docket Number	500188.02

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TECHNOLOGY CENTER R3700

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	770	2001	385	<input type="checkbox"/> Utility Filing Fee
1002	340	2002	170	<input type="checkbox"/> Design Filing Fee
1003	530	2003	265	<input type="checkbox"/> Plant Filing Fee
1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	- 20	=	x \$	= \$ 0
Ind.	- 3	=	x \$	= \$ 0
Multiple Dependent Claims		=	x \$	= \$

Subtotal (2) \$ 0

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent Claim
1204	86	2204	43	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
1051	130	2051	65	Surcharge - Late filing fee or oath	\$
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1053	130	2053	130	Non-English specification	\$
1812	2,520	2012	2,520	For Filing a Request for <i>ex parte</i> Reexamination	\$
1804	920	2004	920	Requesting publication of SIR prior to Examiner action	\$
1805	1,840	2005	1,840	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	420	2252	210	Extension for reply within 2 nd month	\$
1253	950	2253	475	Extension for reply within 3 rd month	\$
1254	1,480	2254	740	Extension for reply within 4 th month	\$
1255	2,010	2255	1,005	Extension for reply within 5 th month	\$
1401	330	2401	165	Notice of Appeal	\$
1402	330	2402	165	Filing a brief in support of an appeal	\$
1403	290	2403	145	Request for oral hearing	\$
1814	110	2814	55	Terminal Disclaimer Fee	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,330	2453	665	Petition to revive - unintentional	\$
1501	1,330	2501	665	Utility/Reissue issue fee	\$
1502	480	2502	240	Design issue fee	\$
1503	640	2503	320	Plant issue fee	\$
1460	130	2460	130	Petitions to the Commissioner	\$
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)	\$
1806	180	2806	180	Submission of IDS	\$ 180
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	\$
1801	770	2801	385	Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 180

Total Amount of Payment: \$ 180

Submitted by:

Name: Mark W. Roberts, Ph.D.	Reg. No.: 46,160	Telephone: (206) 903-8800
Signature: <i>Mark W. Roberts</i>	Date: 11-20-03	